

1. Purpose

IMDEX Limited (IMDEX or Company) is committed to ensuring that all operations and business activity are conducted in accordance with all internal compliance obligations and applicable regulatory requirements.

Our compliance framework enables management to demonstrate an organization wide commitment to achieving compliance in our day to day operations. It drives an expectation that managing compliance is a fundamental part of the way we do business and that a successful compliance culture requires continuous improvement through ongoing review and challenge.

We understand that our ability to demonstrate compliance outcomes contribute to the achievement of business objectives and protect against significant legal, financial, safety and reputational risks.

2. Commitment

Accordingly, we commit to:

- (a) Regulatory compliance being an integral part of decision making;
- (b) Compliance controls being embedded within normal business operations;
- (c) Non-Compliance risks being treated on a proactive basis with emphasis on prevention rather than reactive responses;
- (d) Actively participating in the management and resolution of compliance issues; and
- (e) Demonstrating behaviour that fosters continuous improvement in our compliance culture.

3. Strategy

IMDEX's compliance framework has the following elements:

- (a) Governance arrangements including the role of the Audit, Risk and Compliance Committee;
- (b) Compliance policies and processes addressing organization wide risks;
- (c) Regulatory Compliance Management Standard applied enterprise wide; and
- (d) A compliance program that focuses on continuous improvement in awareness, skills & competencies, processes and culture.